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Chapter 8: Memory Management

Chapter 8: Memory Management

- Background
- Swapping
- Contiguous Allocation
- Paging
- Segmentation
- Segmentation with Paging

Background

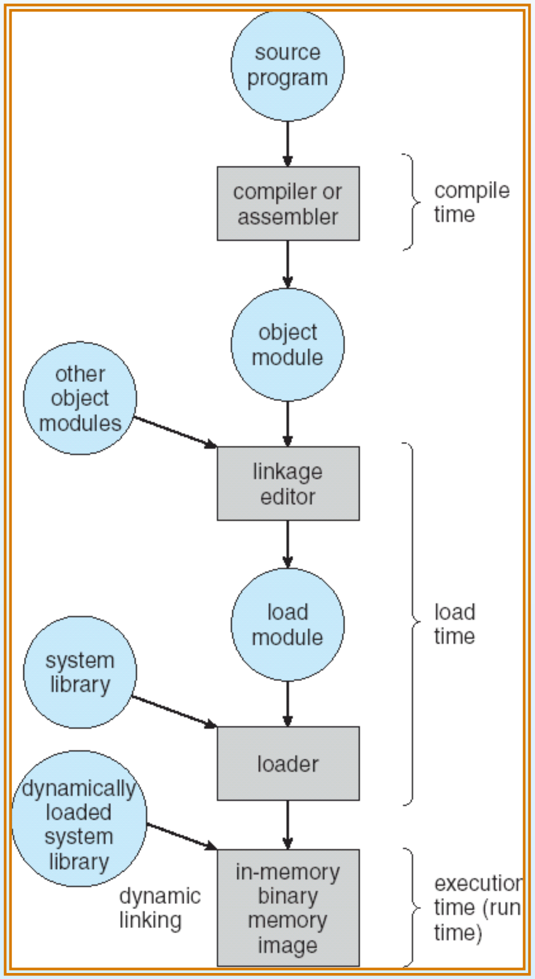
- Program must be brought into memory and placed within a process for it to be run
- **Input queue or job queue** – collection of processes on the disk that are waiting to be brought into memory to run the program
- User programs go through several steps before being run

Binding of Instructions and Data to Memory

Address binding of instructions and data to memory addresses can happen at three different stages

- **Compile time**: If memory location known a priori, *absolute code* can be generated; must recompile code if starting location changes
- **Load time**: Must generate *relocatable code* if memory location is not known at compile time
- **Execution time**: Binding delayed until run time if the process can be moved during its execution from one memory segment to another. Need hardware support for address maps (e.g., *base* and *limit registers*).

Multistep Processing of a User Program



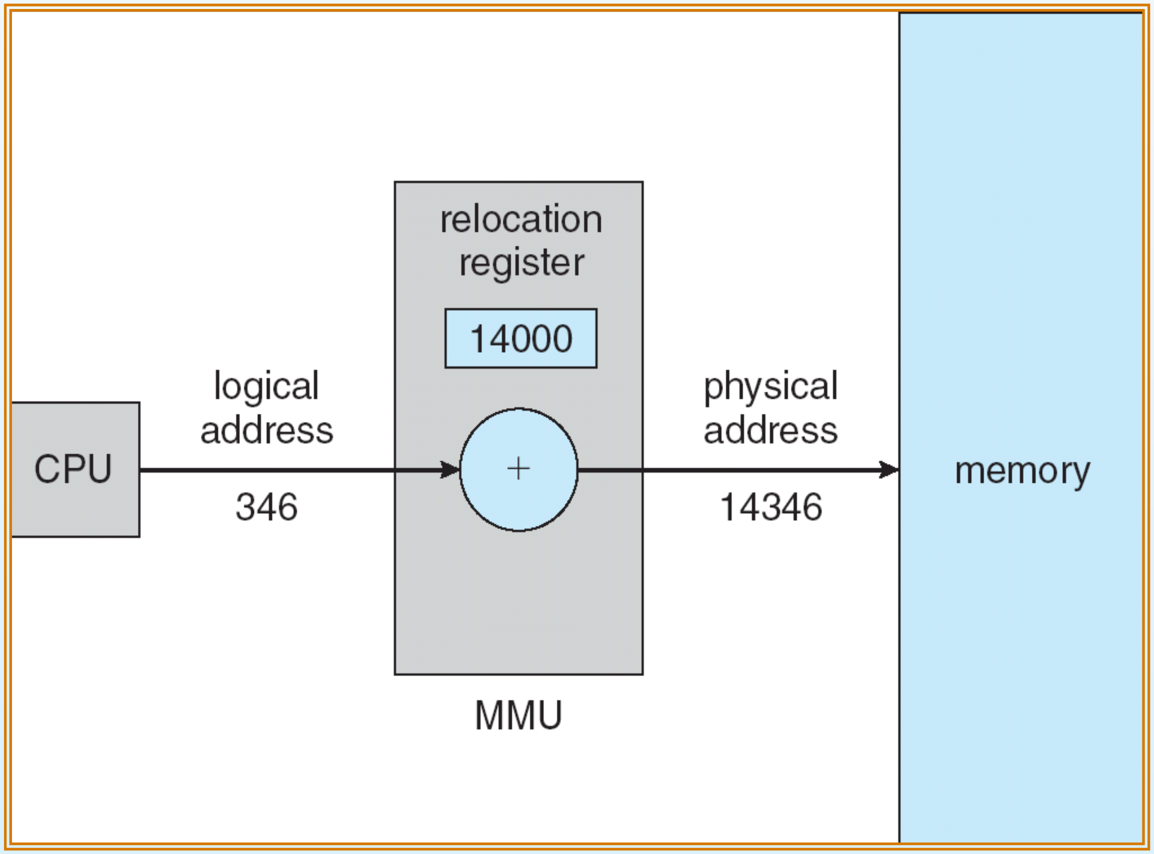
Logical vs. Physical Address Space

- The concept of a logical *address space* that is bound to a separate *physical address space* is central to proper memory management
  - **Logical address** – generated by the CPU; also referred to as *virtual address*
  - **Physical address** – address seen by the memory unit
- Logical and physical addresses are the same in compile-time and load-time address-binding schemes; logical (virtual) and physical addresses differ in execution-time address-binding scheme

Memory-Management Unit (MMU)

- Hardware device that maps virtual to physical address
- In MMU scheme, the value in the relocation register is added to every address generated by a user process at the time it is sent to memory
- The user program deals with *logical* addresses; it never sees the *real* physical addresses

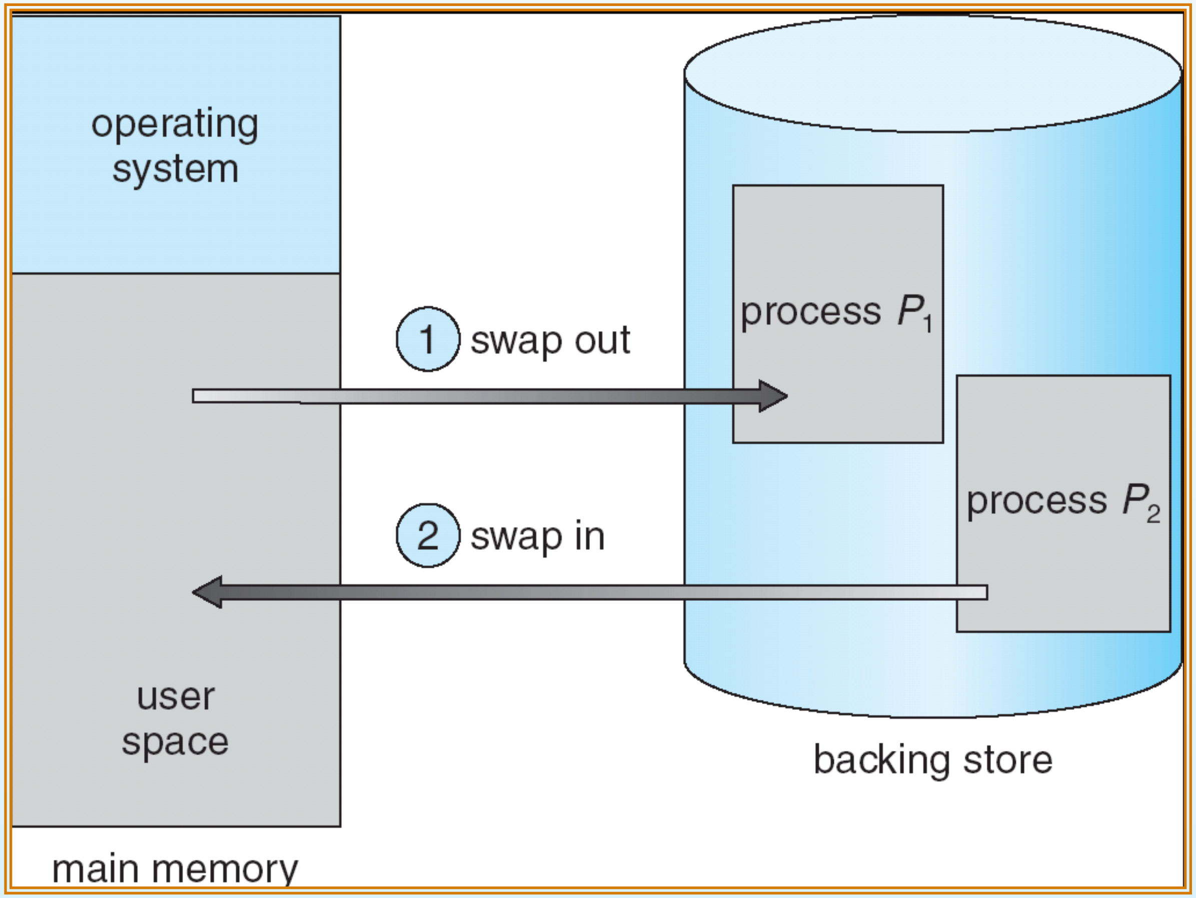
Dynamic relocation using a relocation register



Swapping

- A process can be swapped temporarily out of memory to a backing store, and then brought back into memory for continued execution
- **Backing store** – fast disk large enough to accommodate copies of all memory images for all users; must provide direct access to these memory images
- **Roll out, roll in** – swapping variant used for priority-based scheduling algorithms; lower-priority process is swapped out so higher-priority process can be loaded and executed
- Major part of swap time is transfer time; total transfer time is directly proportional to the amount of memory swapped
- Modified versions of swapping are found on many systems (i.e., UNIX, Linux, and Windows)

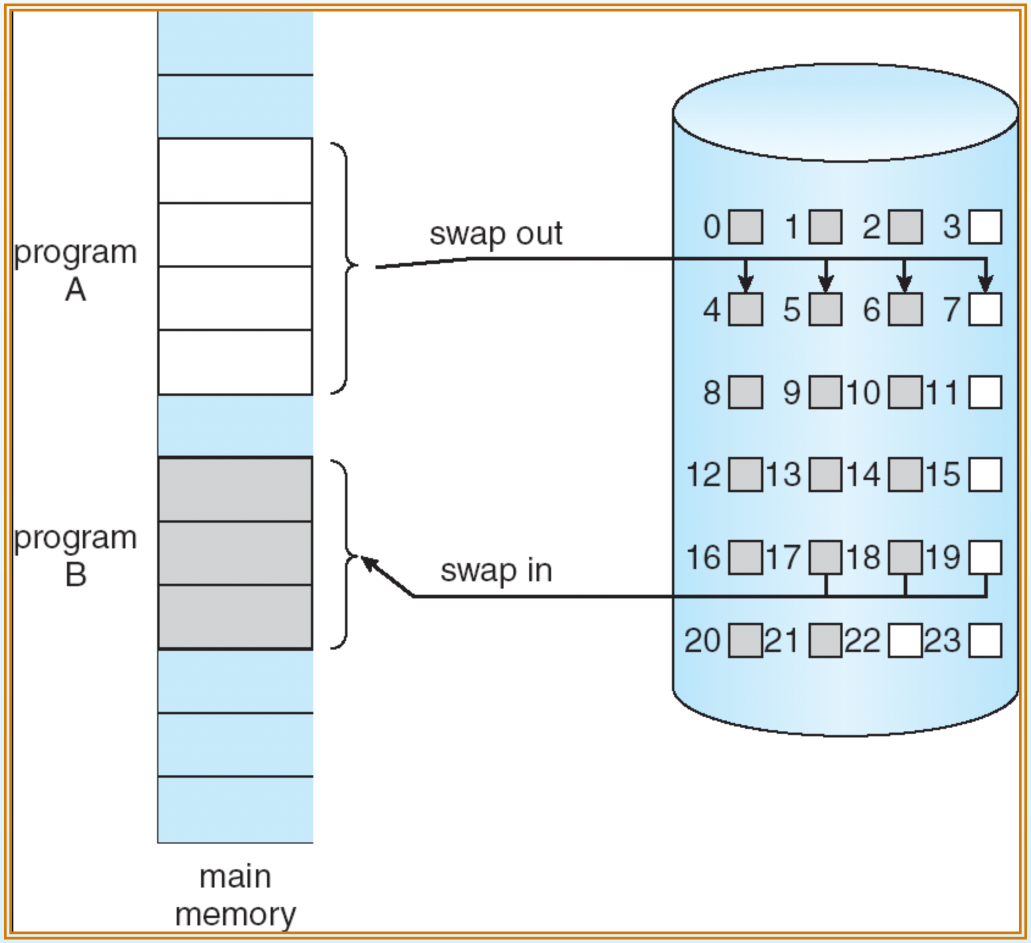
Schematic View of Swapping



Demand Paging

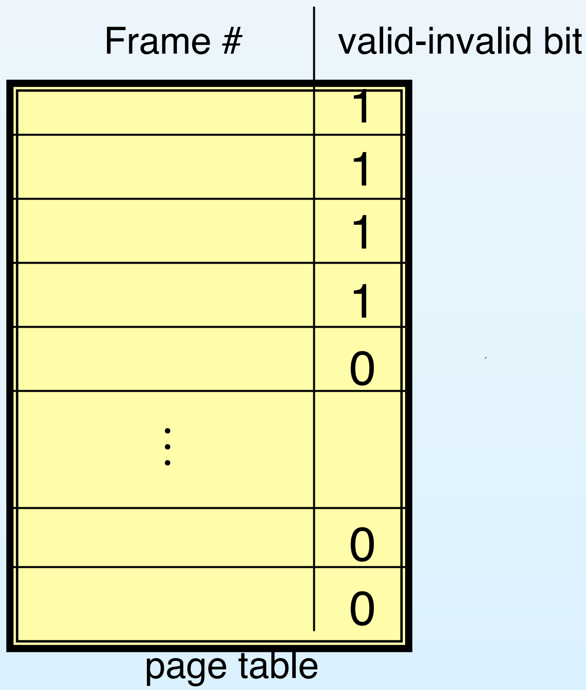
- Bring a page into memory only when it is needed
  - Less I/O needed
  - Less memory needed
  - Faster response
  - More users
- Page is needed ⇒ reference to it
  - invalid reference ⇒ abort
  - not-in-memory ⇒ bring to memory

Transfer of a Paged Memory to Contiguous Disk Space



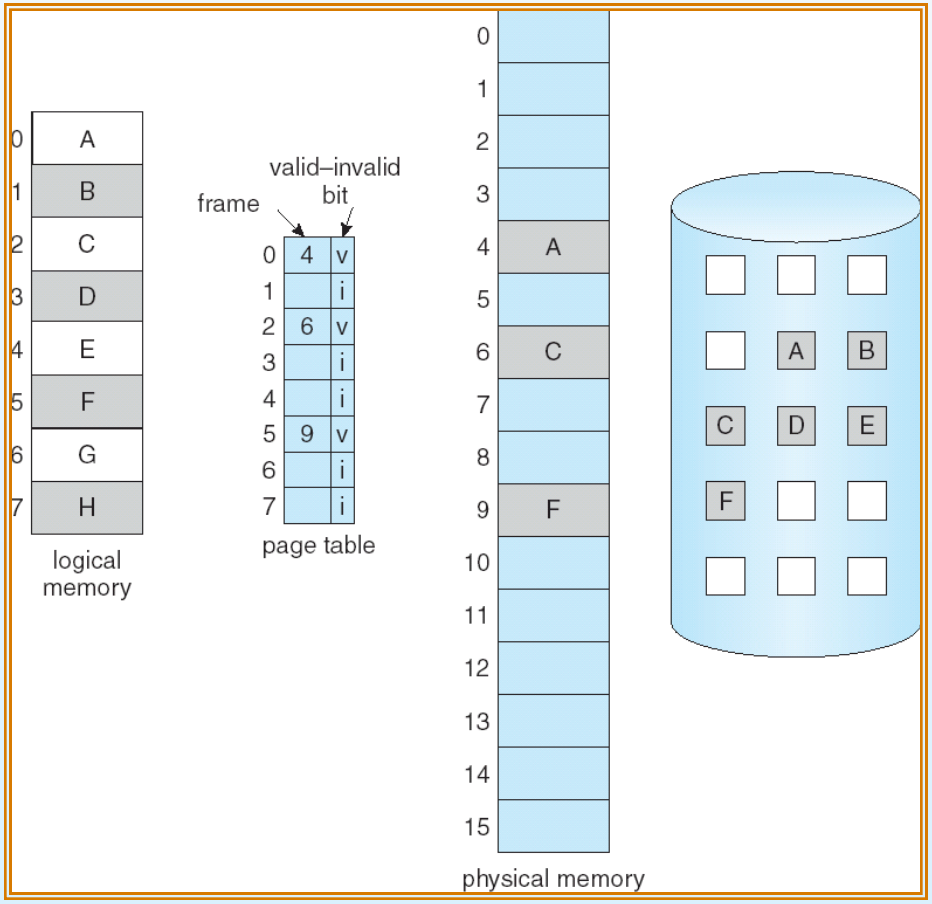
Valid-Invalid Bit

- With each page table entry a valid–invalid bit is associated (1 ⇒ in-memory, 0 ⇒ not-in-memory)
- Initially valid–invalid but is set to 0 on all entries
- Example of a page table snapshot:

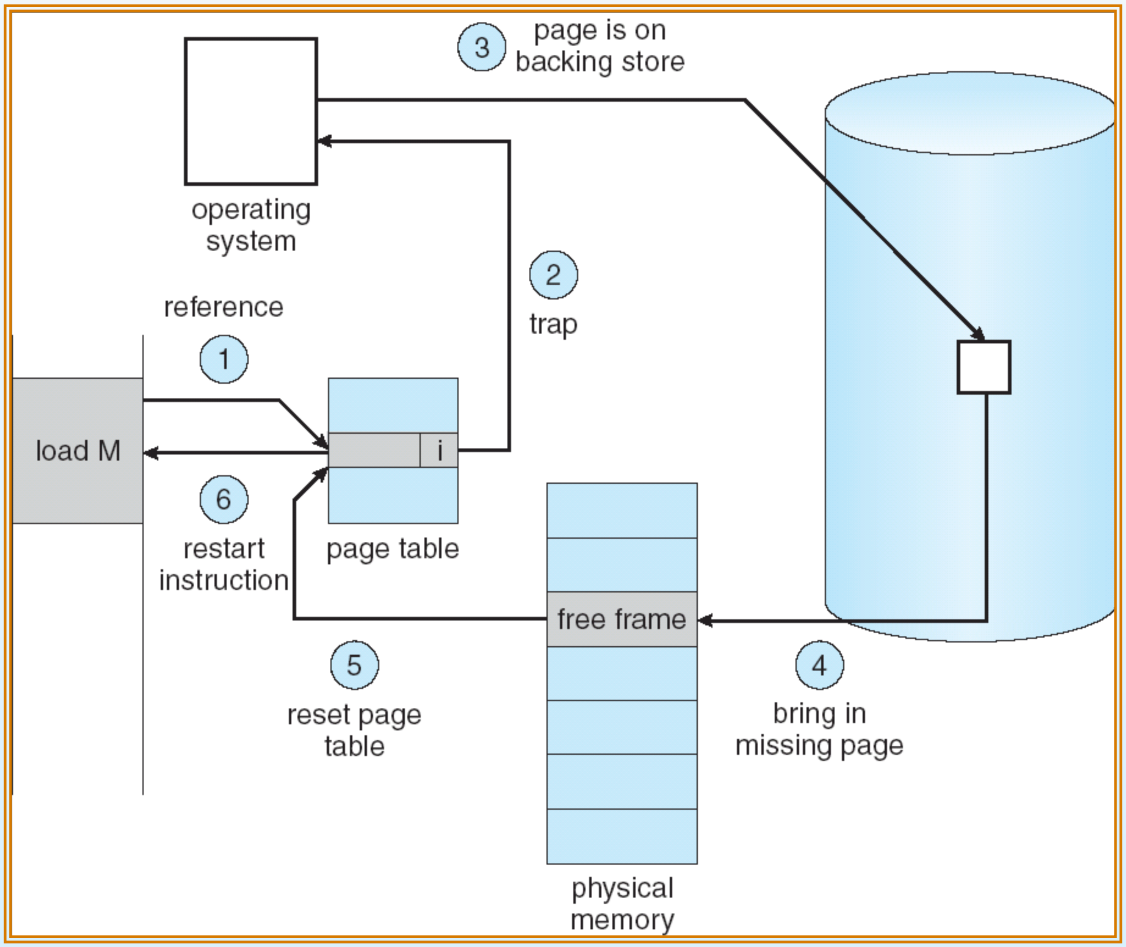


- During address translation, if valid–invalid bit in page table entry is 0 ⇒ page fault

Page Table When Some Pages Are Not in Main Memory



Steps in Handling a Page Fault



What happens if there is no free frame?

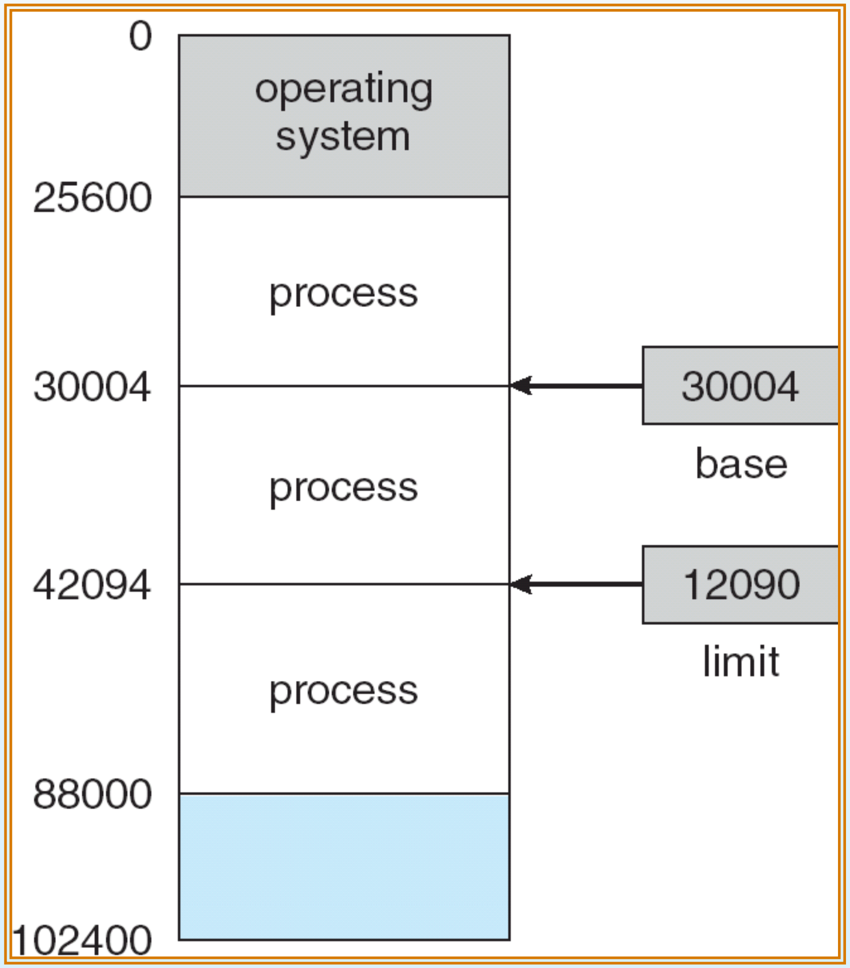
- Page replacement – find some page in memory, but not really in use, swap it out
  - algorithm
  - performance – want an algorithm which will result in minimum number of page faults
- Same page may be brought into memory several times

Memory Allocations

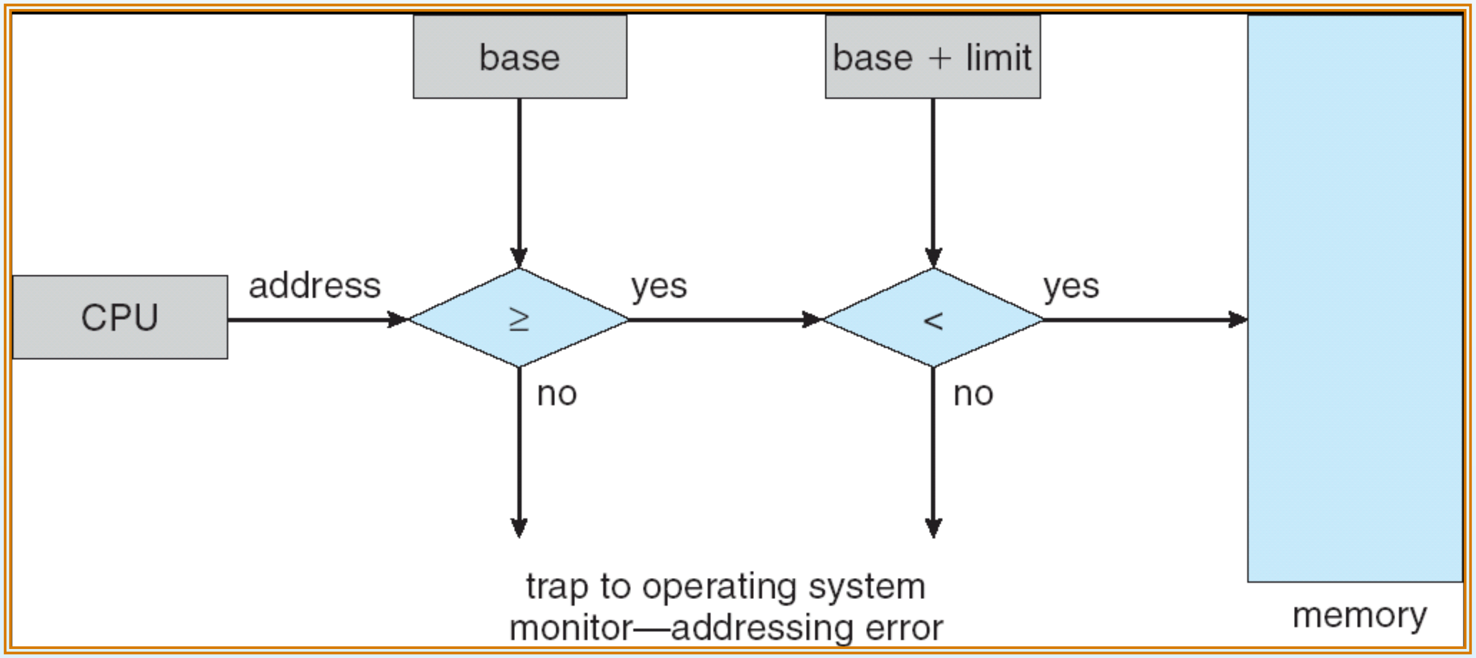
Contiguous Allocation

- Main memory usually into two partitions:
  - Resident operating system, usually held in low memory with interrupt vector
  - User processes then held in high memory
- Each (Single-partition) allocation
  - Relocation-register scheme used to protect user processes from each other, and from changing operating-system code and data
  - Relocation register contains value of smallest physical address; limit register contains range of logical addresses – each logical address must be less than the limit register

A base and a limit register define a logical address space

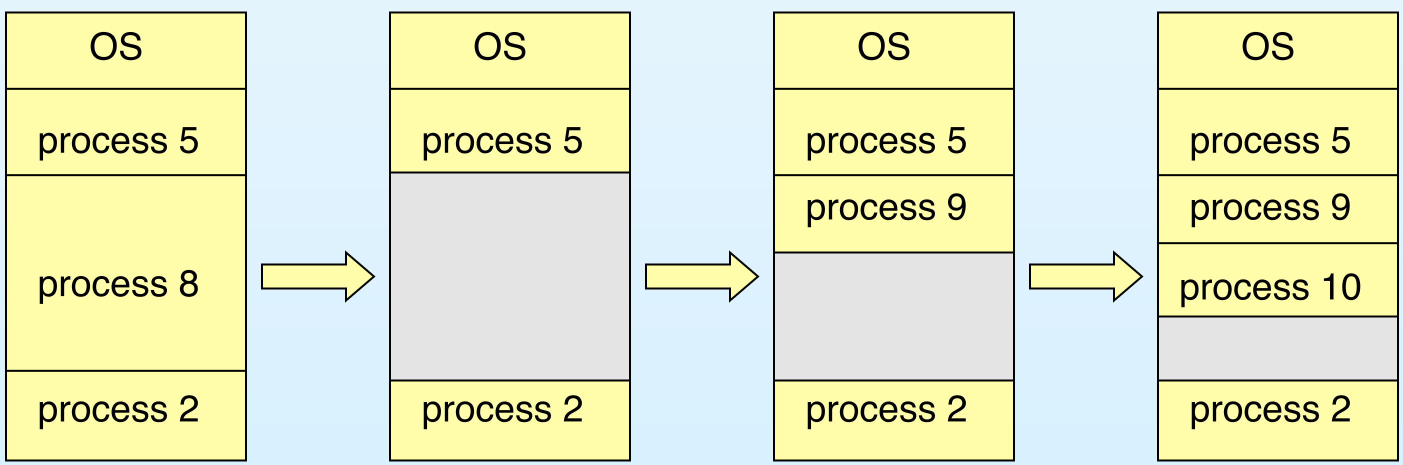


HW address protection with base and limit registers



Contiguous Allocation (Cont.)

- Multiple-partition allocation
  - *Hole* – block of available memory; holes of various size are scattered throughout memory
  - When a process arrives, it is allocated memory from a hole large enough to accommodate it
  - Operating system maintains information about:
    a) allocated partitions   b) free partitions (hole)

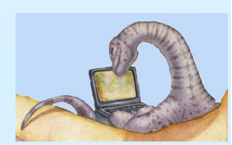


Dynamic Storage-Allocation Problem

How to satisfy a request of size *n* from a list of free holes

- **First-fit**: Allocate the *first* hole that is big enough
- **Best-fit**: Allocate the *smallest* hole that is big enough; must search entire list, unless ordered by size. Produces the smallest leftover hole.
- **Worst-fit**: Allocate the *largest* hole; must also search entire list. Produces the largest leftover hole.

First-fit and best-fit better than worst-fit in terms of speed and storage utilization



Example

- Given free memory partitions of 100K, 500K, 200K, 300K, and 600K (in order), how would each of the First-fit, Best-fit, and Worst-fit algorithms place processes of 212K, 417K, 112K, and 426K (in order)?
- Which algorithm makes the most efficient use of memory?

Fragmentation

- **External Fragmentation** – Gaps between allocated contiguous memory - total memory space exists to satisfy a request, but it is not contiguous
- **Internal Fragmentation** – allocated memory may be slightly larger than requested memory; this size difference is memory internal to a partition, but not being used
- Reduce external fragmentation by **compaction**
  - Shuffle memory contents to place all free memory together in one large block
  - Compaction is possible *only* if relocation is dynamic, and is done at execution time
  - I/O problem
    - Latch job in memory while it is involved in I/O
    - Do I/O only into OS buffers

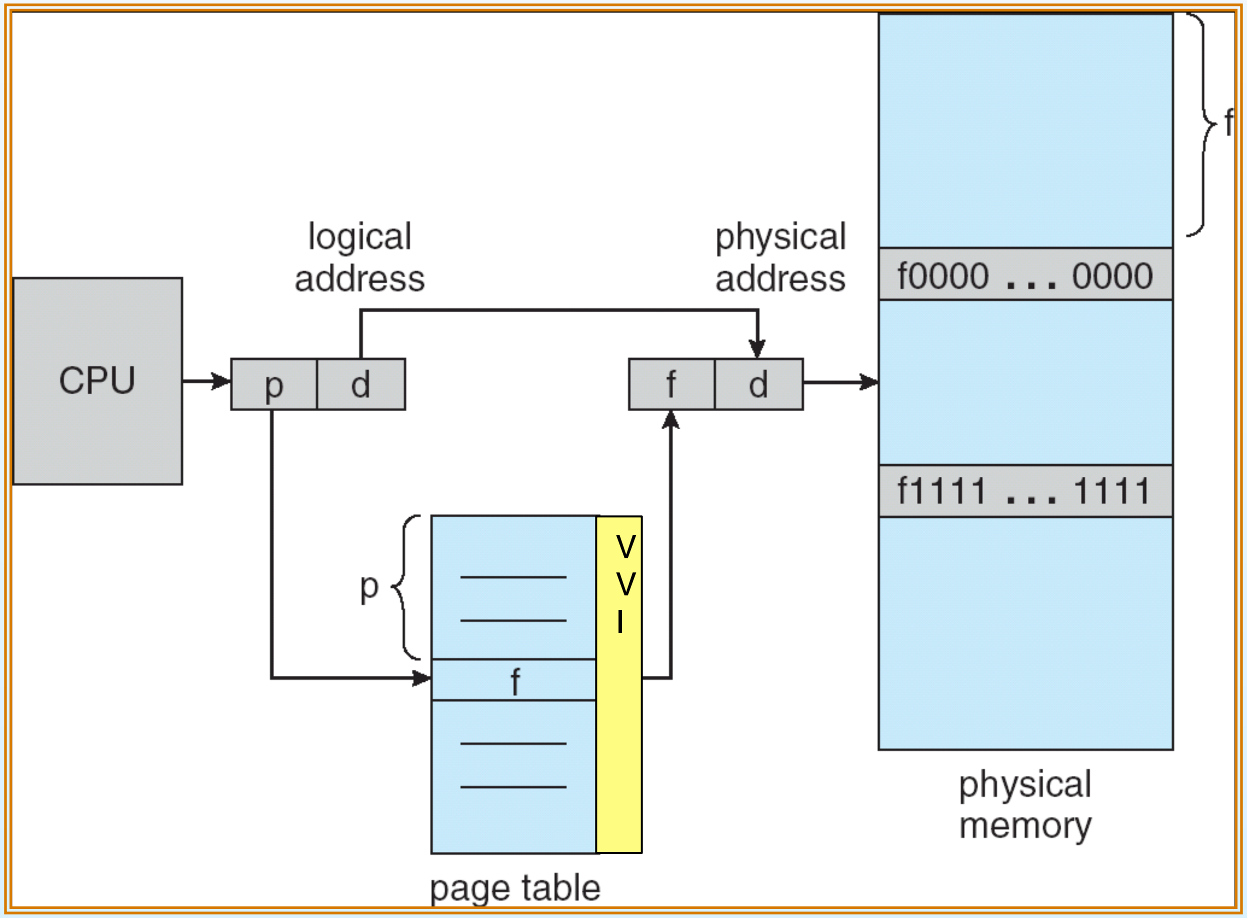
Paging

- Logical address space of a process can be noncontiguous; process is allocated physical memory whenever the latter is available
- Divide physical memory into fixed-sized blocks called **frames** (size is power of 2, between 512 bytes and 8192 bytes)
- Divide logical memory into blocks of same size called **pages**.
- Keep track of all free frames
- To run a program of size *n* pages, need to find *n* free frames and load program
- Set up a page table to translate logical to physical addresses
- Internal fragmentation

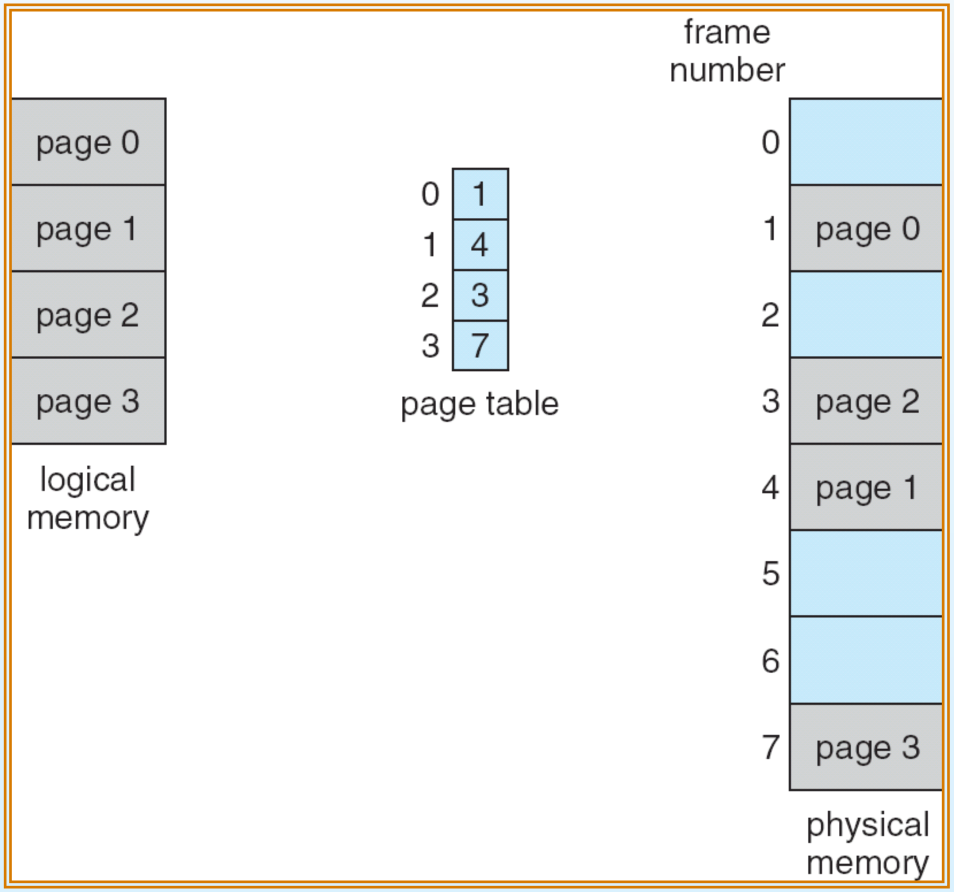
Address Translation Scheme

- Address generated by CPU is divided into:
  - *Page number (p)* – used as an index into a *page table* which contains base address of each page in physical memory
  - *Page offset (d)* – combined with base address to define the physical memory address that is sent to the memory unit

Address Translation Architecture



Paging Example



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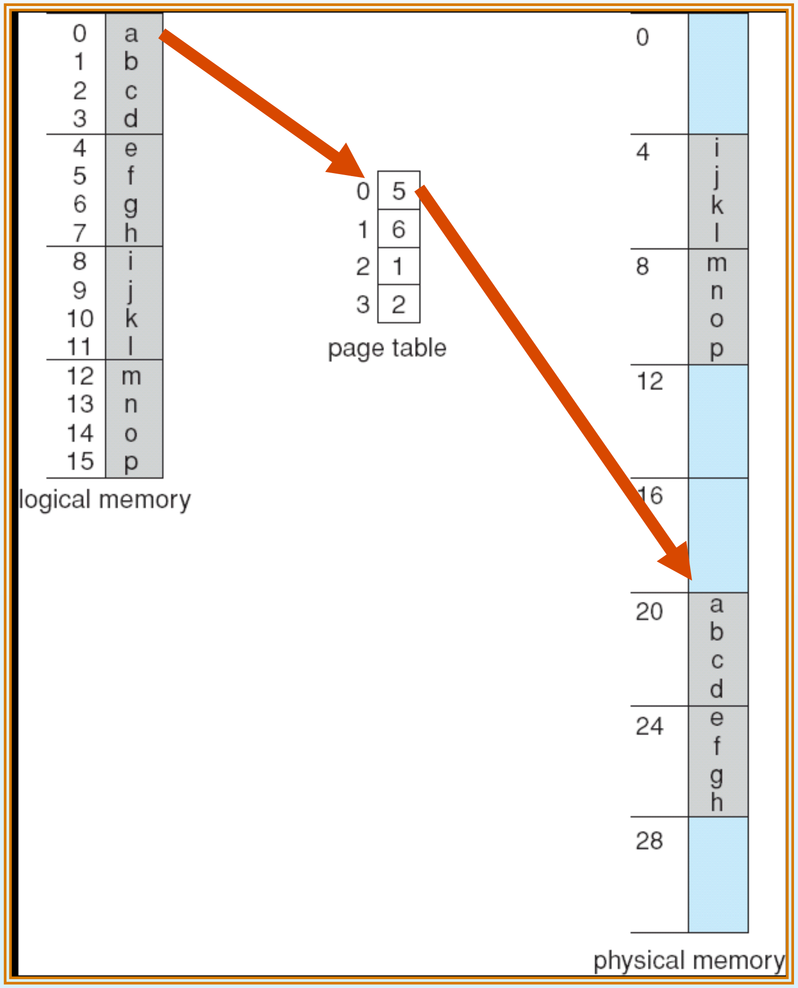
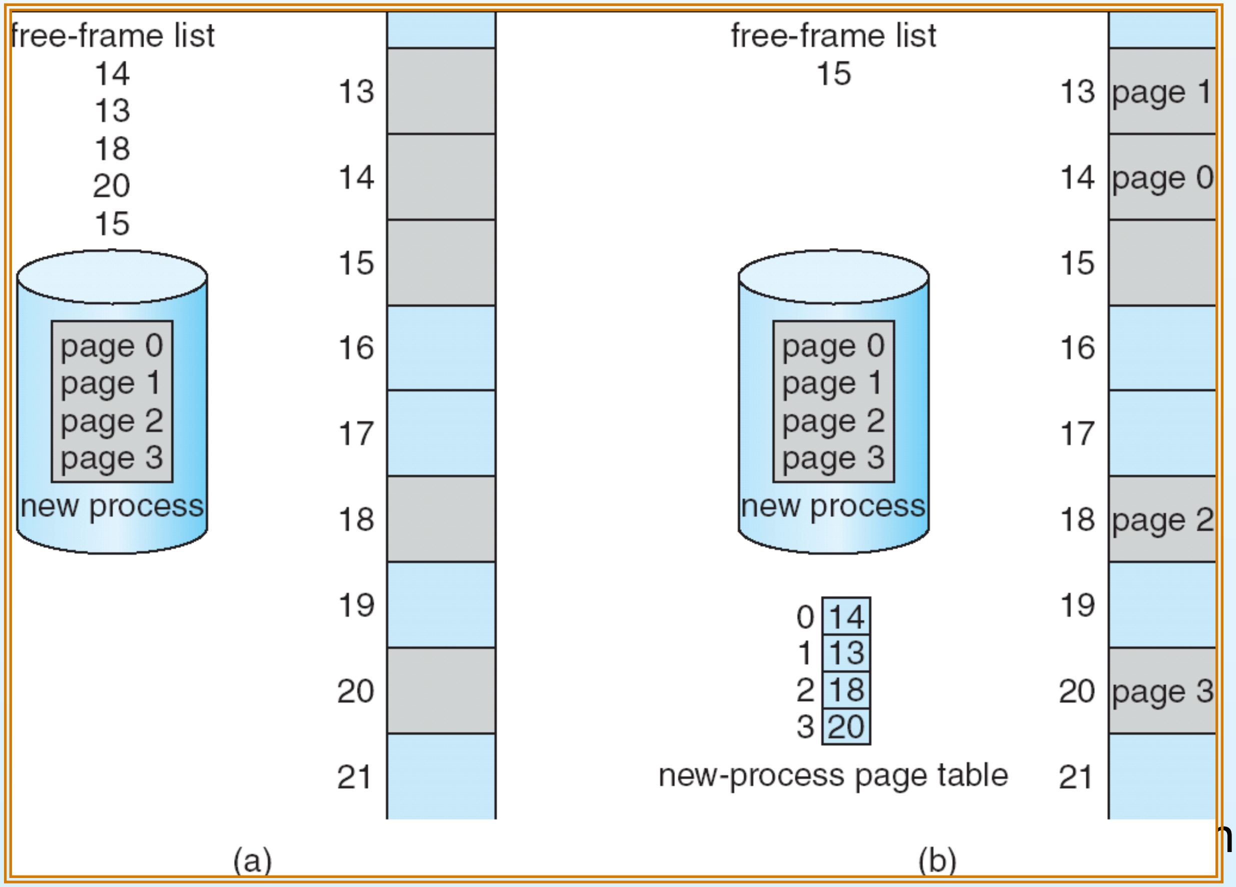


Free Frames



free-frame list
14
13
18
20
15
13
14
15
16
17
18
19
20
21
page 0
page 1
page 2
page 3
new process
(a)
free-frame list
15
13 page 1
14 page 0
15
16
17
18 page 2
19
20 page 3
21
page 0
page 1
page 2
page 3
new process
0 14
1 13
2 18
3 20
new-process page table
(b)

Implementation of Page Table

- Page table is kept in main memory
- *Page-table base register (*PTBR) points to the page table
- *Page-table length register* (PRLR) indicates size of the page table
- In this scheme every data/instruction access requires two memory accesses. One for the page table and one for the data/instruction.
- The two memory access problem can be solved by the use of a special fast-lookup hardware cache called **associative memory** or **translation look-aside buffers (TLBs)**

Associative Memory

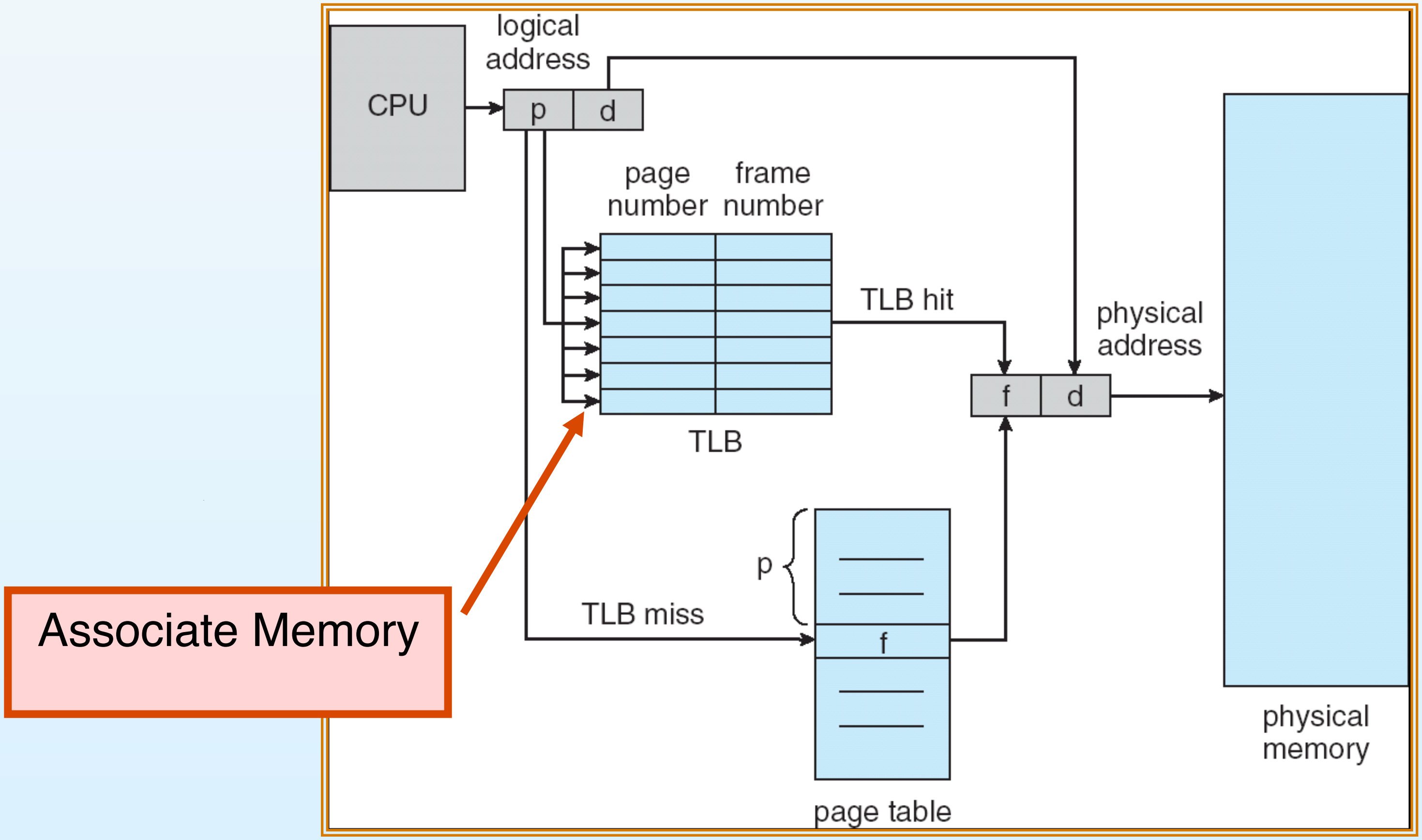
- Associative memory – parallel search

Page #	Frame #

Address translation (A´, A´´)

- If A´ is in associative register, get frame # out
- Otherwise get frame # from page table in memory

Paging Hardware With TLB



Effective Access Time

- Associative Lookup = ε time unit
- Assume memory cycle time is 1 microsecond
- Hit ratio – percentage of times that a page number is found in the associative registers; ration related to number of associative registers
- Hit ratio = α
- **Effective Access Time** (EAT)

$$EAT = (1 + \varepsilon)\,\alpha + (2 + \varepsilon)(1 - \alpha)$$

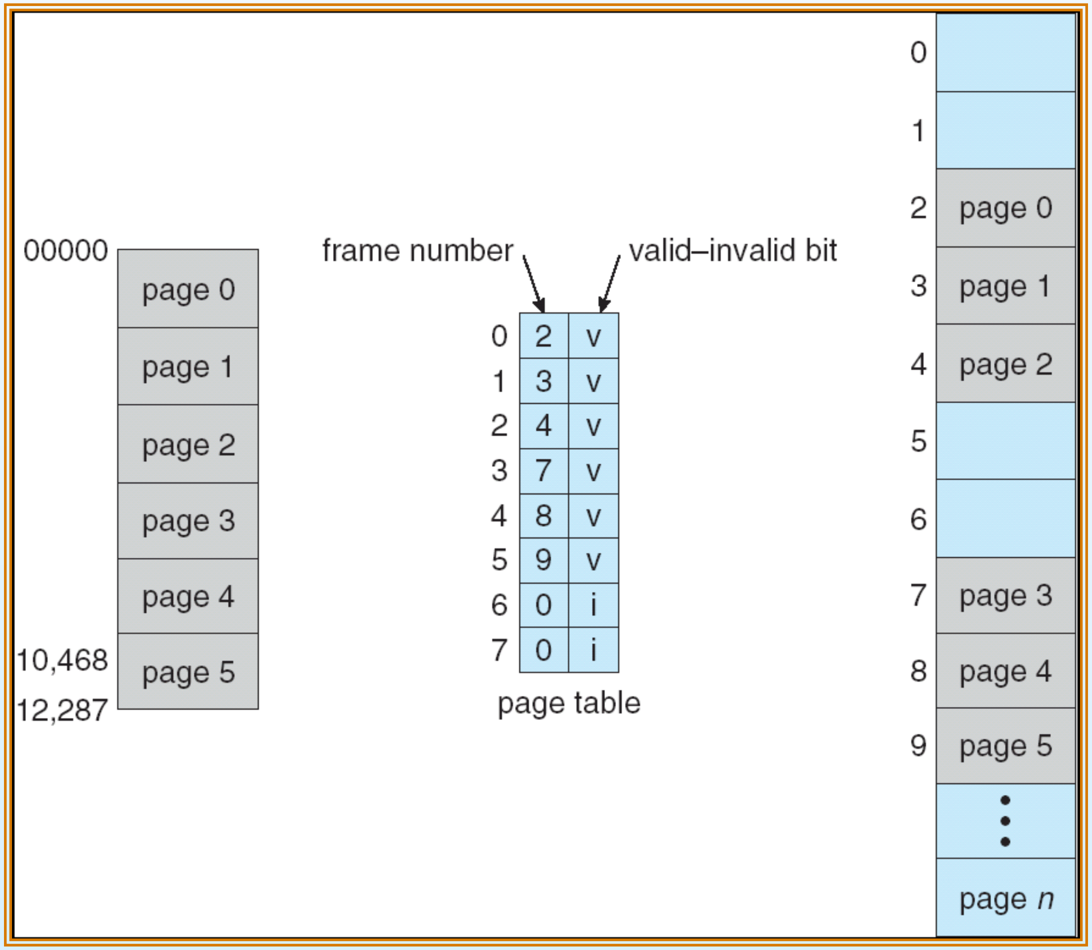
$$= 2 + \varepsilon - \alpha$$

Memory Protection

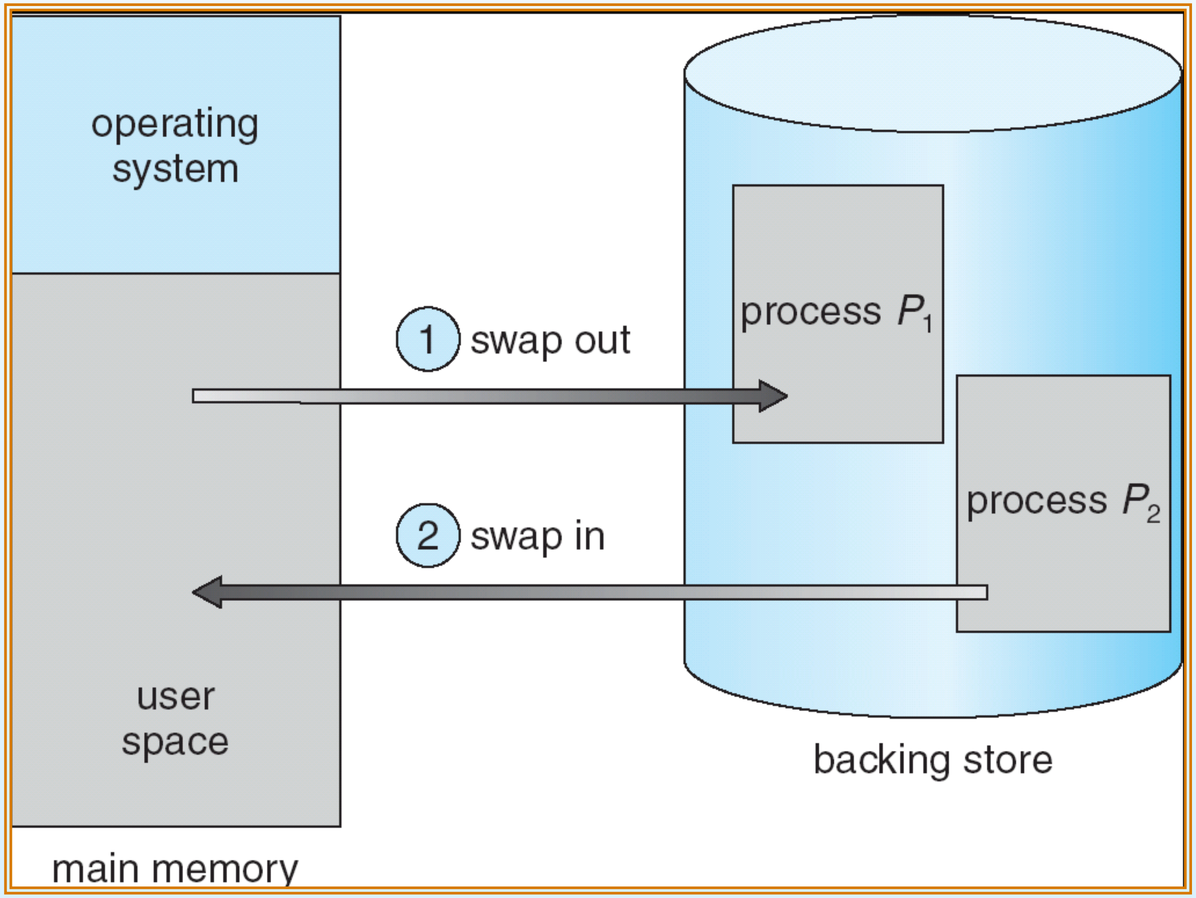
- Memory protection implemented by associating protection bit with each frame

- **Valid-invalid** bit attached to each entry in the page table:
  - "valid" indicates that the associated page is in the process' logical address space, and is thus a legal page
  - "invalid" indicates that the page is not in the process' logical address space

Valid (v) or Invalid (i) Bit In A Page Table



Swapping



operating system
1 swap out
process P_1
2 swap in
process P_2
user space
backing store
main memory

Virtual Memory

- Elusion to expand memory view onto the secondary storage.
- Moving pages – frames between memory and HD
- Demand paging

Demand Paging

- Bring a page into memory only when it is needed
  - Less I/O needed
  - Less memory needed
  - Faster response
  - More users
- Page is needed ⇒ reference to it
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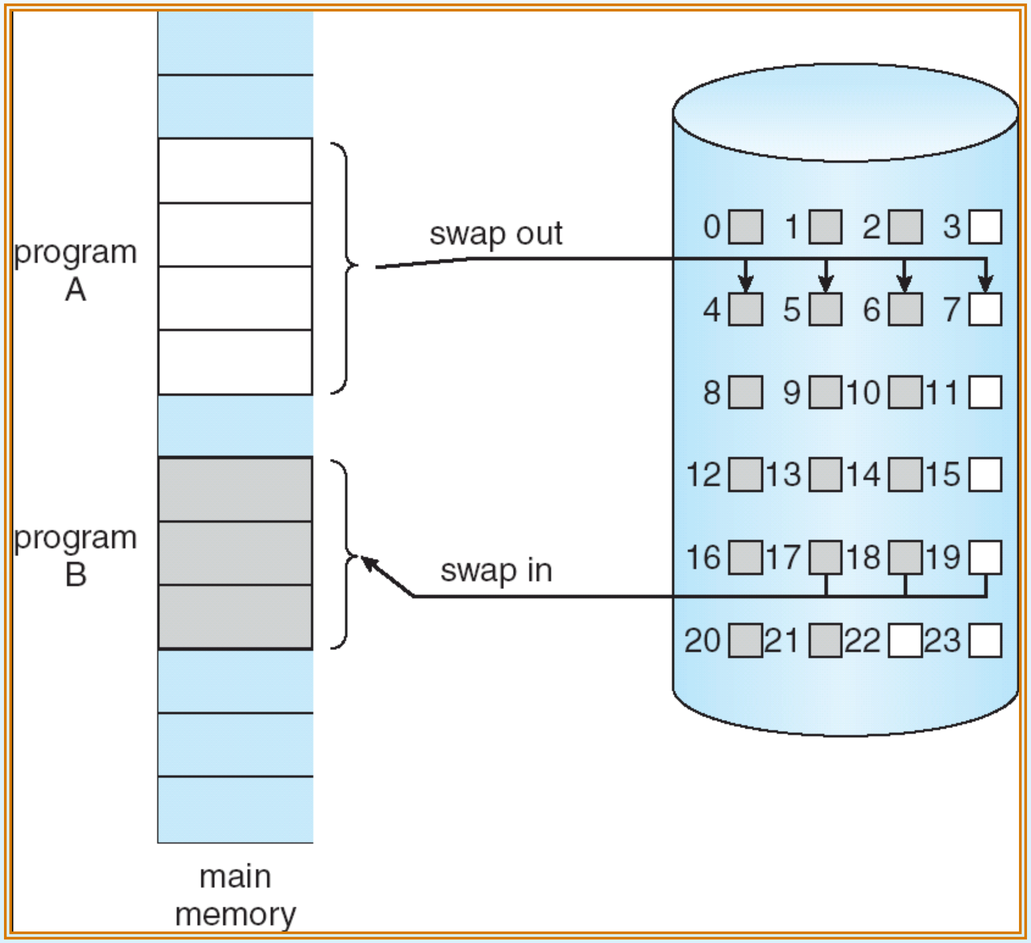
Transfer of a Paged Memory to Contiguous Disk Space



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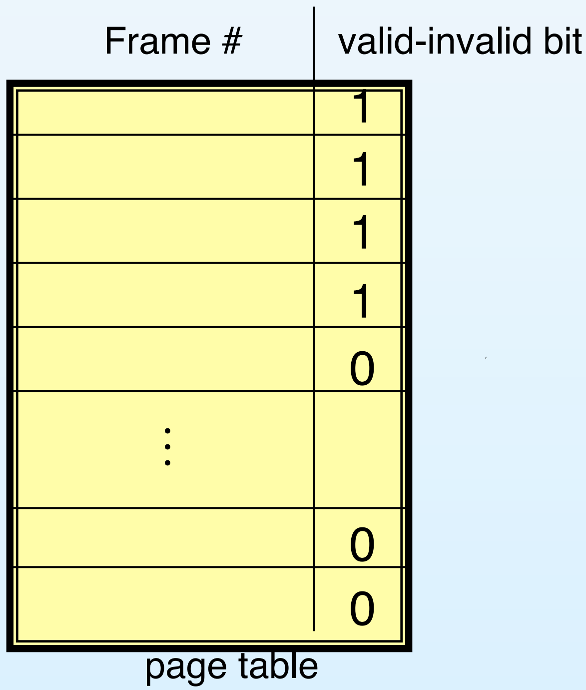
- Example of a page table snapshot:



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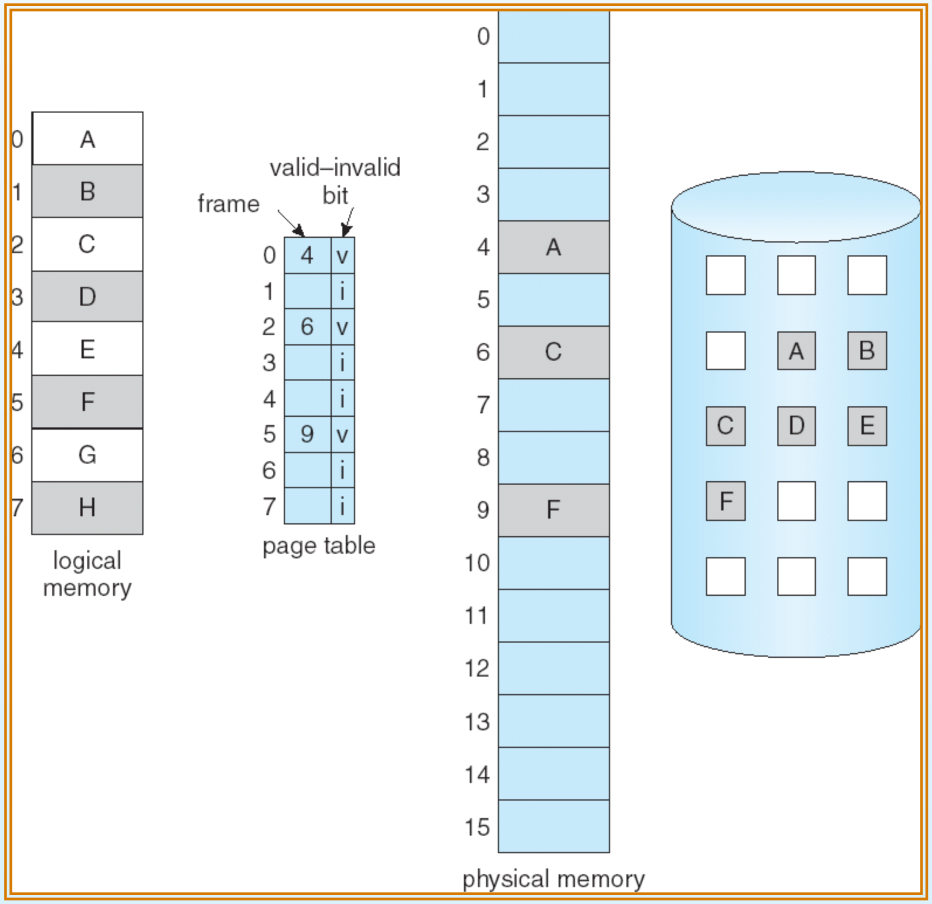
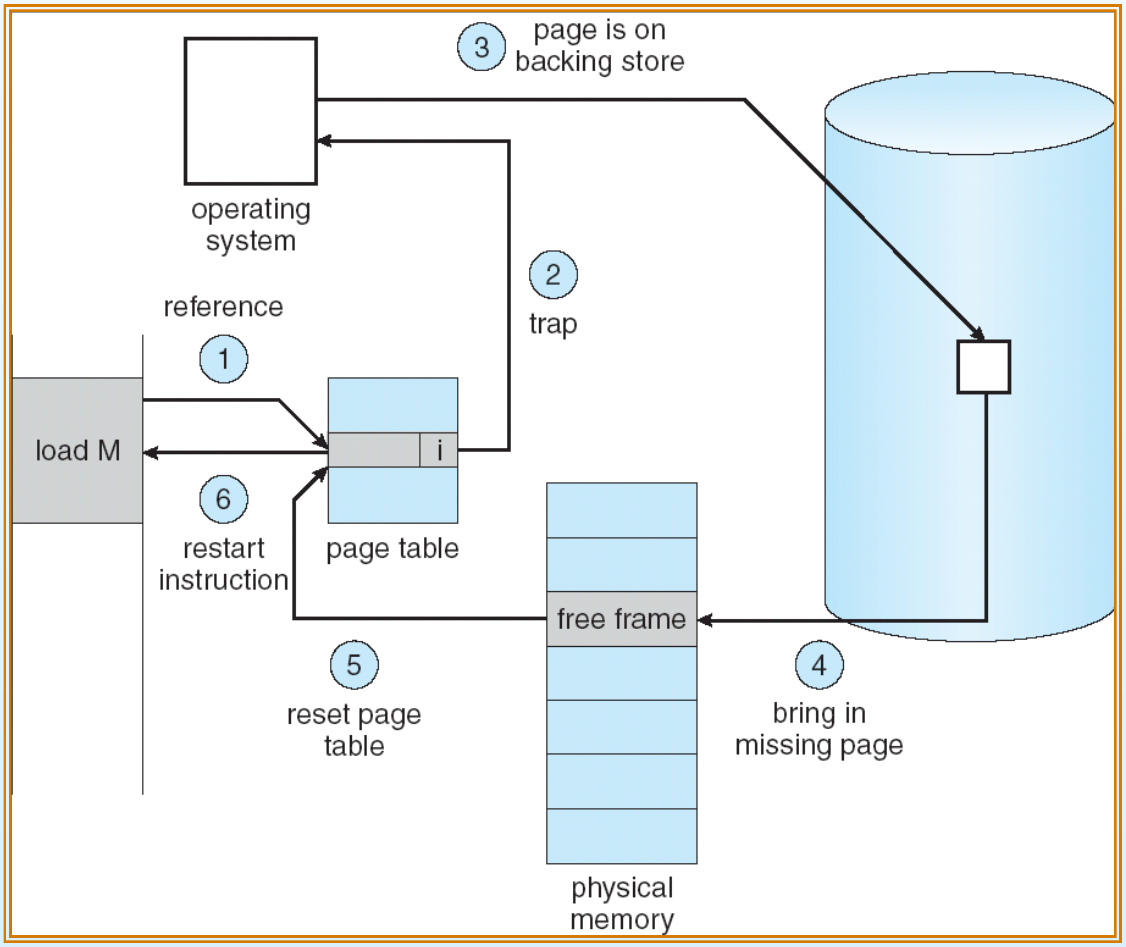
Page Table When Some Pages Are Not in Main Memory



Steps in Handling a Page Fault



What happens if there is no free frame?

- Page replacement – find some page in memory, but not really in use, swap it out
  - algorithm
  - performance – want an algorithm which will result in minimum number of page faults
- Same page may be brought into memory several times

Page Table Structure

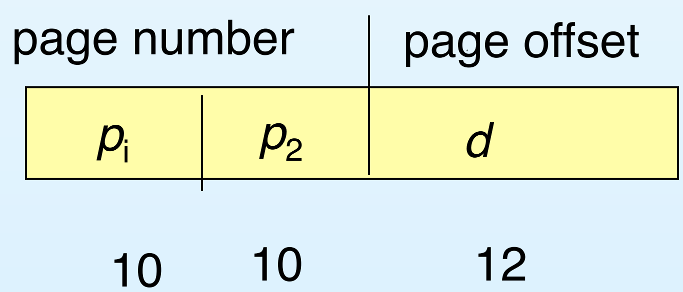
- Hierarchical Paging
- Hashed Page Tables
- Inverted Page Tables

Hierarchical Page Tables

- Break up the logical address space into multiple page tables
- A simple technique is a two-level page table

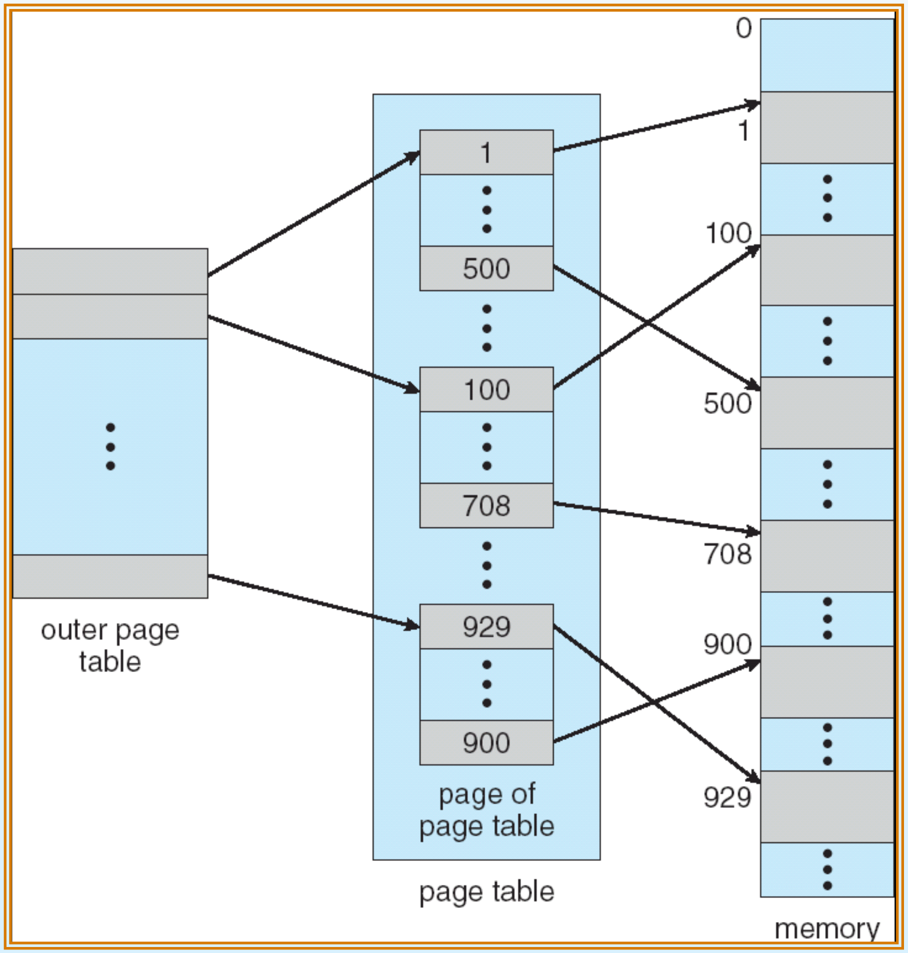
Two-Level Paging Example

- A logical address (on 32-bit machine with 4K page size) is divided into:
  - a page number consisting of 20 bits
  - a page offset consisting of 12 bits
- Since the page table is paged, the page number is further divided into:
  - a 10-bit page number
  - a 10-bit page offset
- Thus, a logical address is as follows:



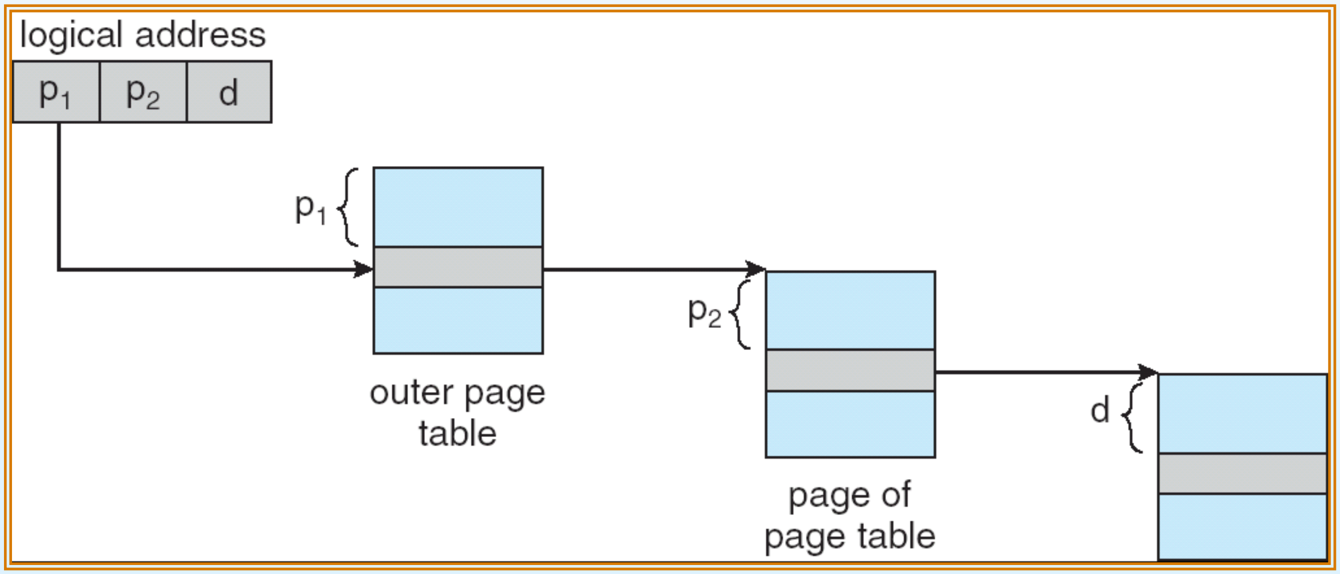
where p_i is an index into the outer page table, and p_2 is the displacement within the page of the outer page table

Two-Level Page-Table Scheme



Address-Translation Scheme

- Address-translation scheme for a two-level 32-bit paging architecture

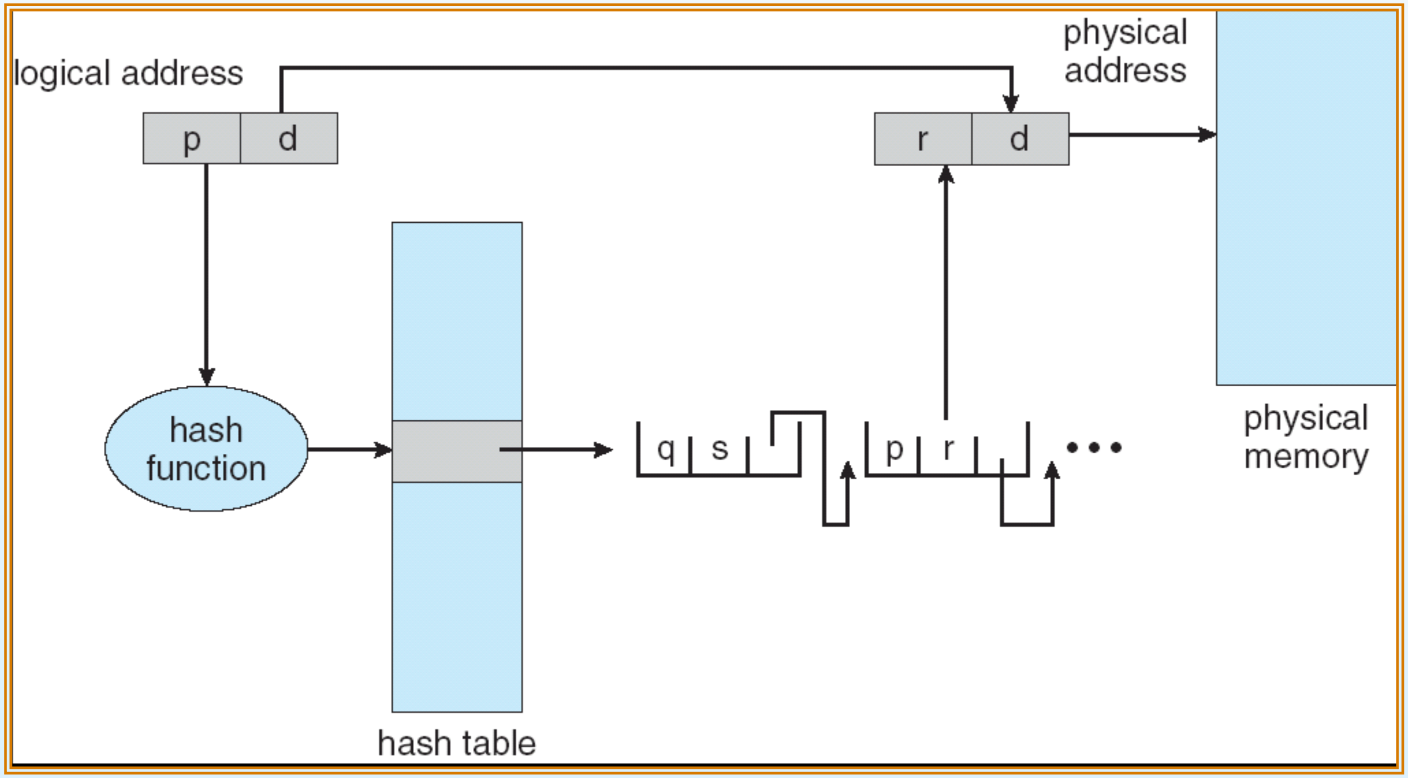


Stop here

Hashed Page Tables

- Common in address spaces > 32 bits
- The virtual page number is hashed into a page table. This page table contains a chain of elements hashing to the same location.
- Virtual page numbers are compared in this chain searching for a match. If a match is found, the corresponding physical frame is extracted.

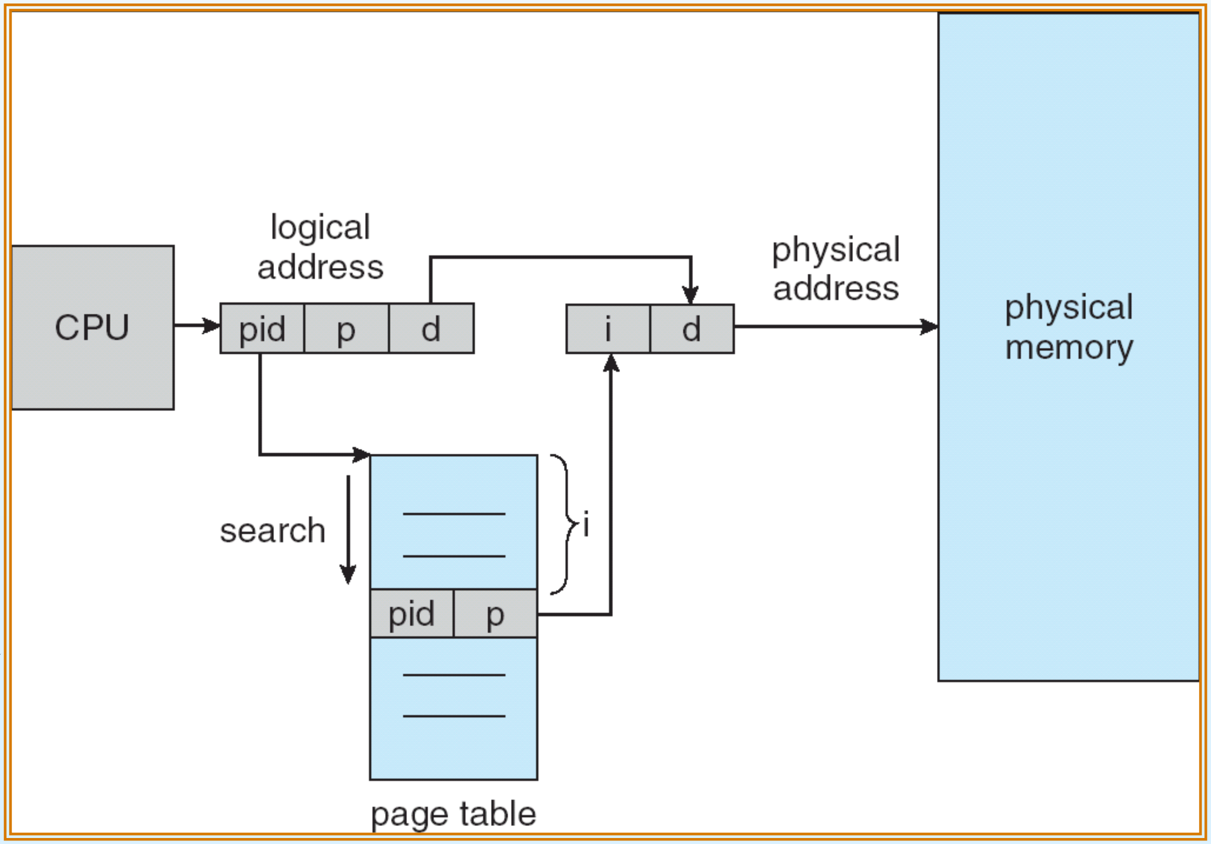
Hashed Page Table



Inverted Page Table

- One entry for each real page of memory
- Entry consists of the virtual address of the page stored in that real memory location, with information about the process that owns that page
- Decreases memory needed to store each page table, but increases time needed to search the table when a page reference occurs
- Use hash table to limit the search to one — or at most a few — page-table entries

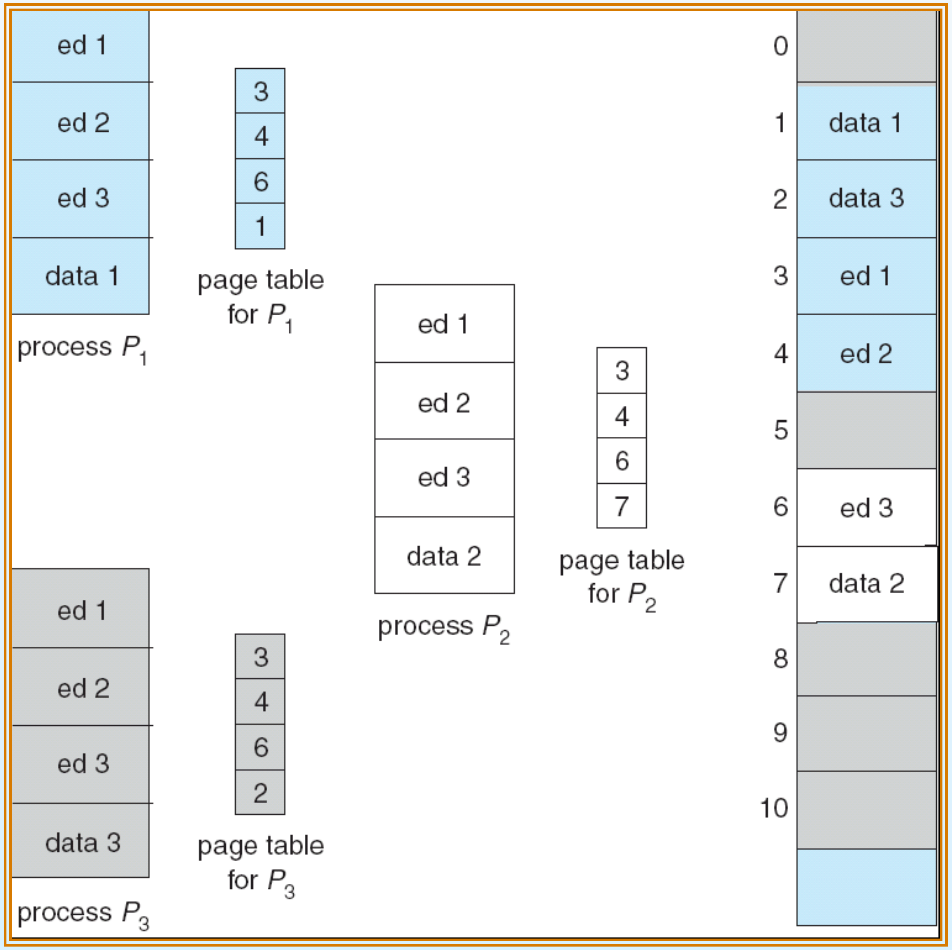
Inverted Page Table Architecture



Shared Pages

- **Shared code**
  - One copy of read-only (reentrant) code shared among processes (i.e., text editors, compilers, window systems).
  - Shared code must appear in same location in the logical address space of all processes
- **Private code and data**
  - Each process keeps a separate copy of the code and data
  - The pages for the private code and data can appear anywhere in the logical address space

Shared Pages Example



Segmentation

- Memory-management scheme that supports user view of memory
- A program is a collection of segments. A segment is a logical unit such as:

  main program,

  procedure,

  function,

  method,

  object,

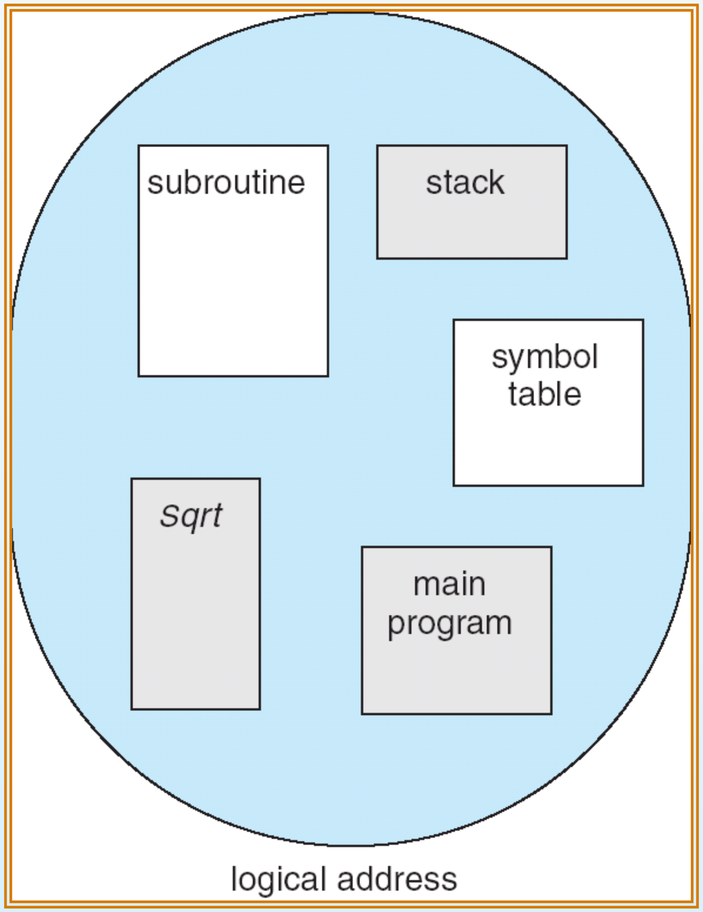
  local variables, global variables,

  common block,

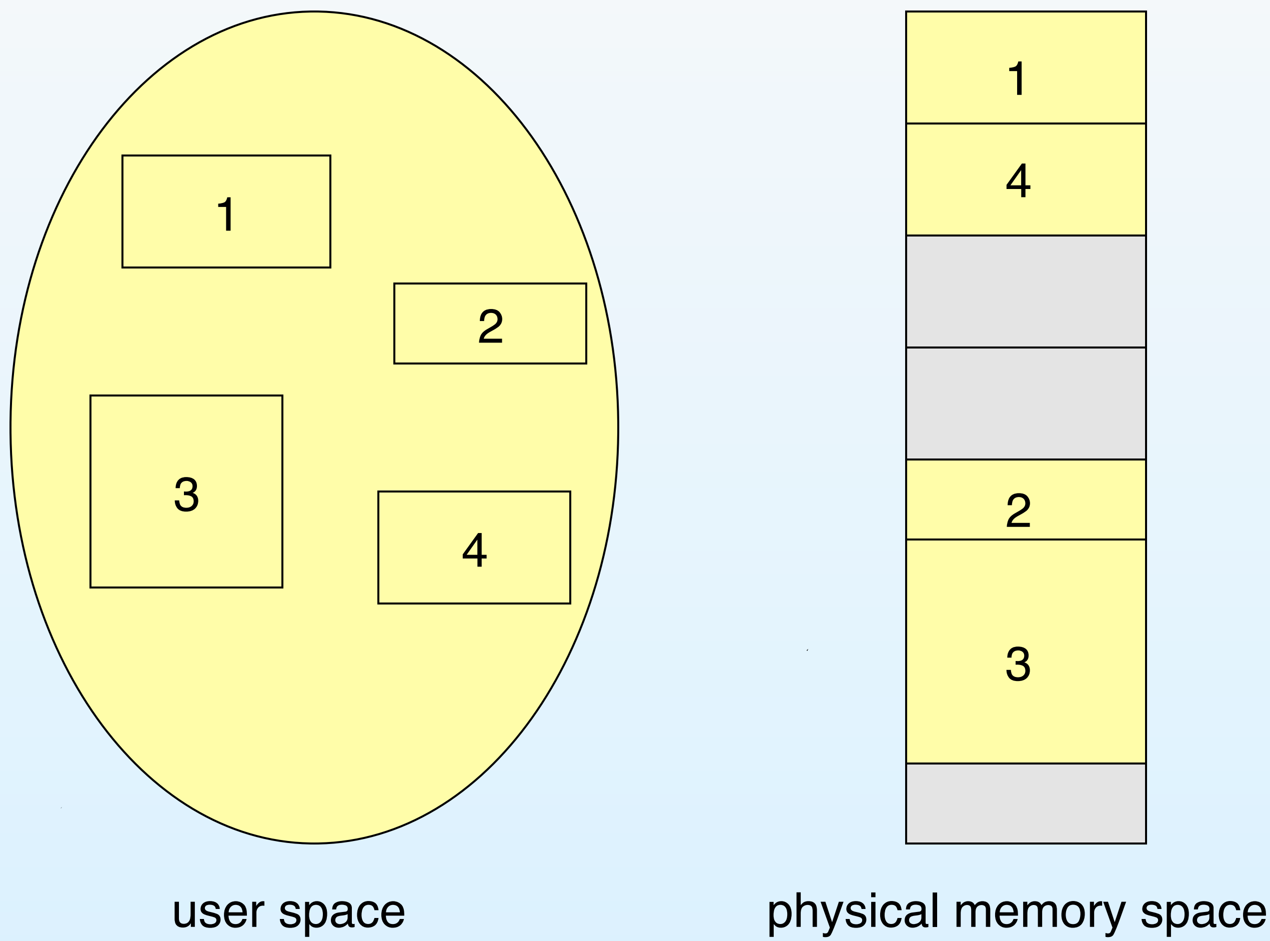
  stack,

  symbol table, arrays

User's View of a Program



Logical View of Segmentation



Stop here

Segmentation Architecture

- Logical address consists of a two tuple:

  <segment-number, offset>,

- **Segment table** – maps two-dimensional physical addresses; each table entry has:
  - base – contains the starting physical address where the segments reside in memory
  - *limit* – specifies the length of the segment
- *Segment-table base register (STBR)* points to the segment table’s location in memory
- *Segment-table length register (STLR)* indicates number of segments used by a program;

  segment number s is legal if $s <$ STLR

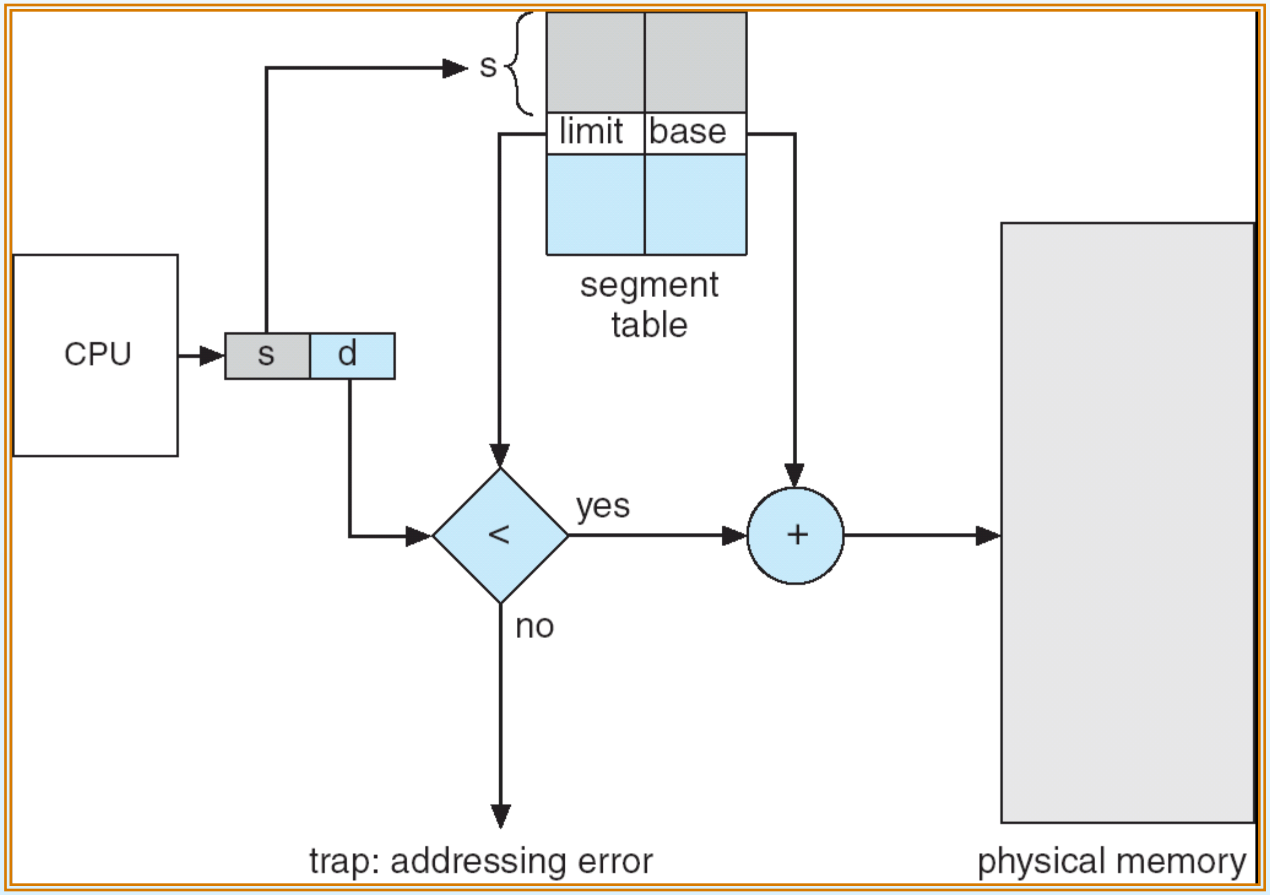
Segmentation Architecture (Cont.)

- **Relocation**.
  - dynamic
  - by segment table
- **Sharing**.
  - shared segments
  - same segment number
- **Allocation**.
  - first fit/best fit
  - external fragmentation

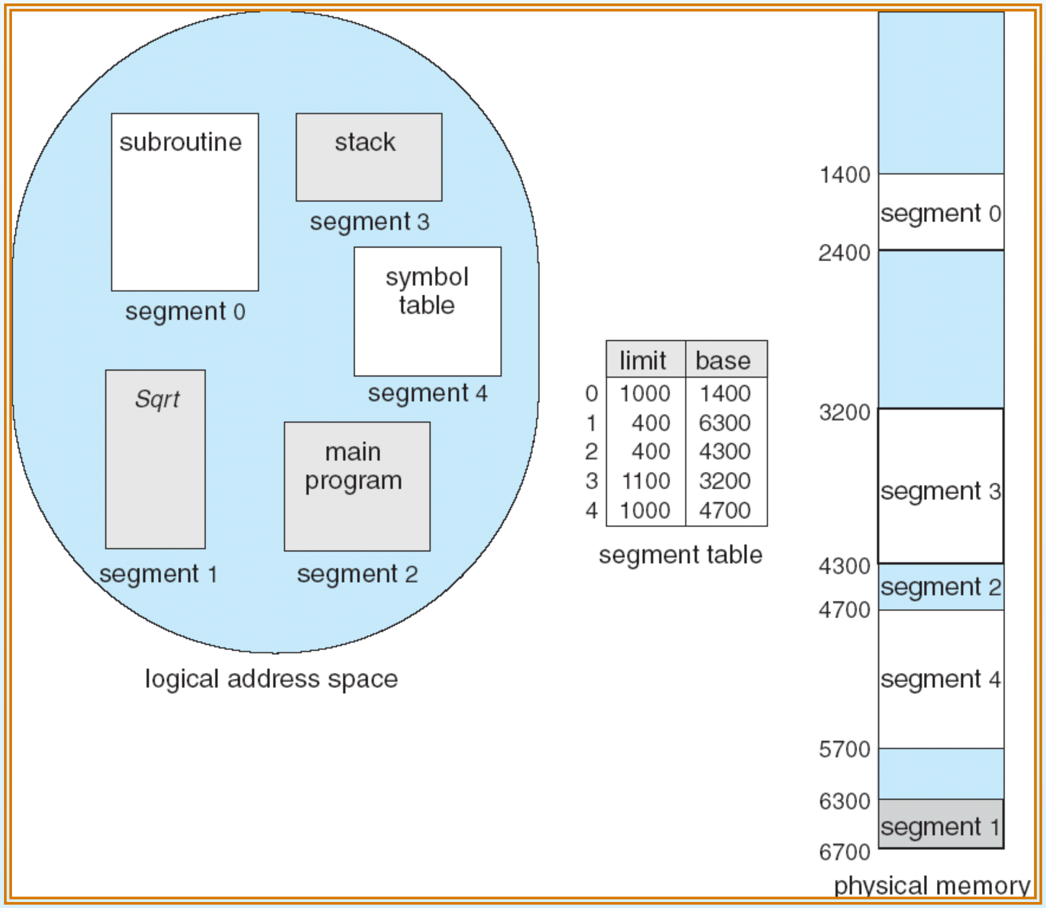
Segmentation Architecture (Cont.)

- Protection. With each entry in segment table associate:
  - validation bit = 0 ⇒ illegal segment
  - read/write/execute privileges
- Protection bits associated with segments; code sharing occurs at segment level
- Since segments vary in length, memory allocation is a dynamic storage-allocation problem
- A segmentation example is shown in the following diagram

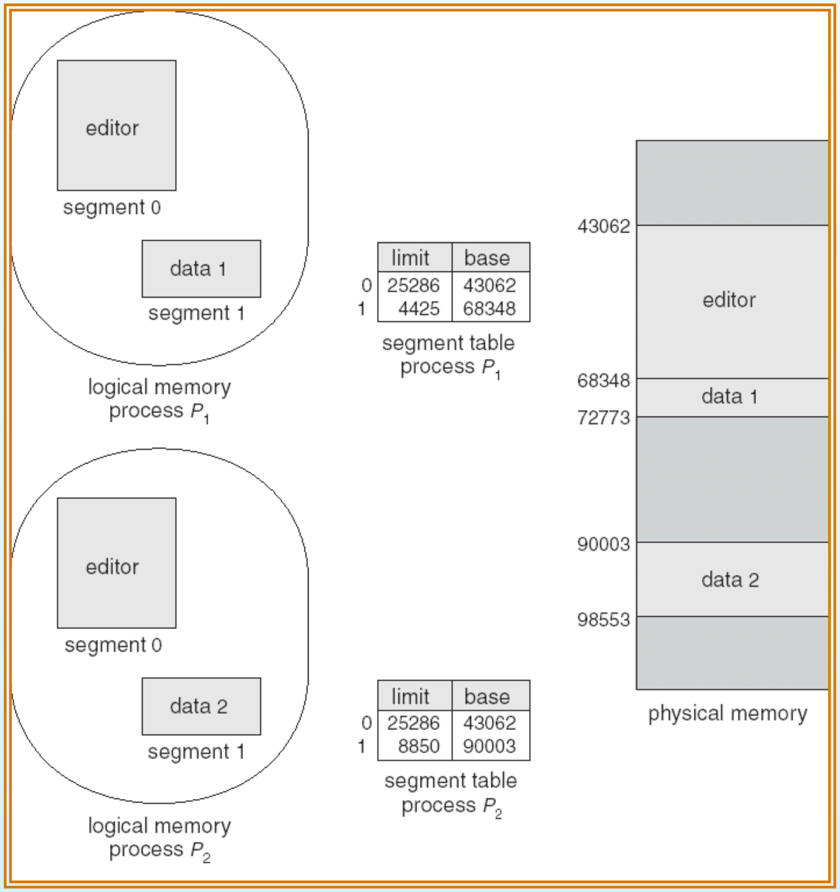
Address Translation Architecture



Example of Segmentation



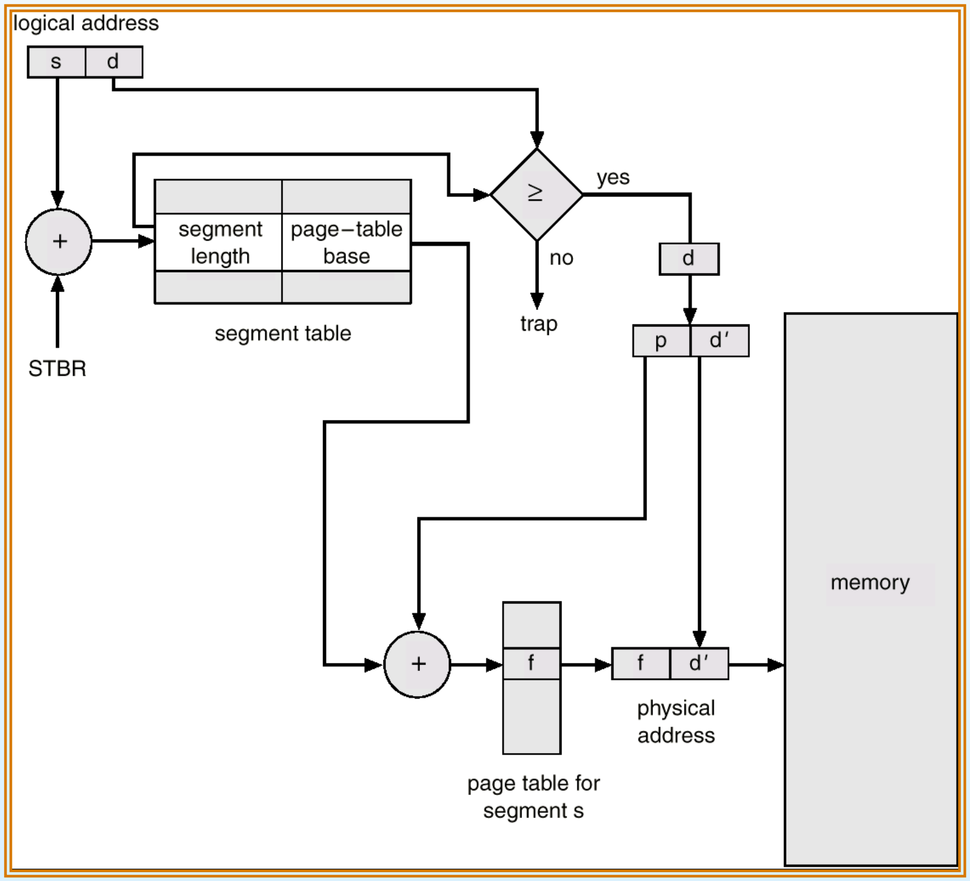
Sharing of Segments



Segmentation with Paging – MULTICS

- The MULTICS system solved problems of external fragmentation and lengthy search times by paging the segments
- Solution differs from pure segmentation in that the segment-table entry contains not the base address of the segment, but rather the base address of a *page table* for this segment

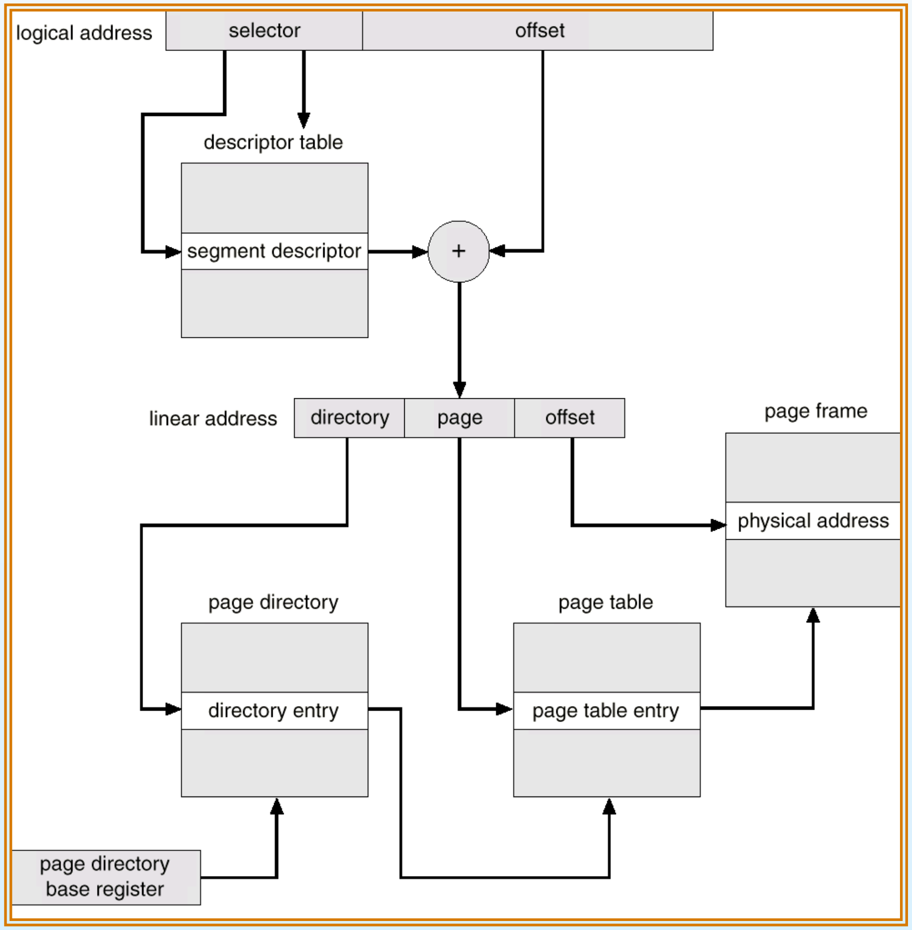
MULTICS Address Translation Scheme



Segmentation with Paging – Intel 386

- As shown in the following diagram, the Intel 386 uses segmentation with paging for memory management with a two-level paging scheme

Intel 30386 Address Translation



Linux on Intel 80x86

- Uses minimal segmentation to keep memory management implementation more portable
- Uses 6 segments:
  - Kernel code
  - Kernel data
  - User code (shared by all user processes, using logical addresses)
  - User data (likewise shared)
  - Task-state (per-process hardware context)
  - LDT
- Uses 2 protection levels:
  - Kernel mode
  - User mode

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End of Chapter 8